

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	10551578
Filing Date	2005-10-03
First Named Inventor	Sengupta et al.
Art Unit	NA
Examiner Name	Not Assigned
Attorney Docket Number	109-05

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	3	6982037		2006-01-03	Horng et al.	
	4	6954738		2005-10-11	Wang et al.	
	5	6926832		2005-08-09	Collins et al.	
	6	6824685		2004-11-30	Katsu et al.	
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	8	6783681		2004-08-31	Mueller et al.	

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	9	6777454		2004-08-17	Ritchie et al.	
	10	6776913		2004-08-17	Jangbarwala	
	11	6669849		2003-12-30	Nyguyen et al.	
	12	6613232		2003-09-02	Chesner et al.	
	13	6565748		2003-05-20	Wang et al.	
	14	6517723		2003-02-11	Daigger et al.	
	15	6491827		2002-12-10	Temple et al.	
	16	6464881		2002-10-15	Thoraval	
	17	6461514		2002-10-08	Al-Samadi	
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	19	6416668		2002-07-09	Al-Samadi	

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	20	6375848		2002-04-23	Cote et al.	
	21	6372143		2002-04-16	Bradley	
	22	6355221		2002-03-12	Rappas	
	23	6338803		2002-01-15	Campbell et al.	
	24	6267892		2001-07-31	Wada et al.	
	25	6203705		2001-03-20	James et al.	
	26	6200471		2001-03-13	Nohren	
	27	6197193		2001-03-06	Archer	
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	31	6120688		2000-09-19	Daly et al.	
	32	6110375		2000-08-29	Bacchus et al.	
	33	6045694		2000-04-04	Wang et al.	
	34	6027649		2000-02-22	Benedek et al.	
	35	6020210		2000-02-01	Miltenyi	
	36	5932099		1999-08-03	Cote et al.	
	37	5900146		1999-05-04	Ballard et al.	
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	39	5855790		1999-01-05	Bradbury et al.	
	40	5772891		1998-06-30	Yamasaki et al.	
	41	5728302		1998-03-17	Connor et al.	

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	42	5707514		1998-01-13	Yamasaki et al.	
	43	5639377		1997-06-17	Banham et al.	
	44	5595666		1997-01-21	Kochen et al.	
	45	5547585		1996-08-20	Shepherd et al.	
	46	5494582		1996-02-27	Goodman	
	47	5464530		1995-11-07	Stivers	
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	9	9321114	WO		1993-10-28	De Reuver et al.		<input type="checkbox"/>
	10	59049851	JP		1984-03-22	Takeshi	Abstract Only	<input type="checkbox"/>
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	12	62141071	JP		1987-06-24	Takeshi et al.		<input type="checkbox"/>
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	18	744706	AU		2002-02-28	Ballard et al.		<input type="checkbox"/>
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	20	704376	AU		1999-04-22	Ballard et al.		<input type="checkbox"/>
	21	6053080	AU		1980-11-12	ICI Australia Ltd.		<input type="checkbox"/>
	22	2273701	GB		1994-06-29	Bleakly		<input type="checkbox"/>
	23	1559809	GB		1980-01-30	Northern Eng. Ind.		<input type="checkbox"/>
	24	1303566	EP		2003-04-23	Karlou-Eyrisch et al.		<input type="checkbox"/>
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	26	0522856	EP		1993-01-13	Bradbury et al.		<input type="checkbox"/>
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	5	Brattebo et al. (1987) "Ion Exchange for the Removal of Humic Acids in Water Treatment," Wat. Res. 21 (9):1045-1052	<input type="checkbox"/>
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	7	Chai et al. (1998) "Charged Polyacrylonitrile Membranes Having Amphiphilic Quaternized Ammonium Groups for Ultrafiltration," Appl. Poly. Sci. 69(9):1821-1828	<input type="checkbox"/>
	8	Childress et al. (2000) "Relating Nanofiltration Membrane Performance to Membrane Charge (Electrokinetic) Characteristics," Environ. Sci. Technol. 34:3710-3716	<input type="checkbox"/>
	9	Cho et al. (2000) "Membrane Filtration of Natural Organic Matter: Comparison of Flux Decline, NOM Rejection, and Foulants During Filtration with Three UF Membranes," Desalination 127:283-298	<input type="checkbox"/>

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10	Cho et al. (2000) "Membrane Filtration of Natural Organic Matter: Factors and Mechanisms Affecting Rejection and Flux Decline with Charged Ultra Filtration (UF)," J. Memb. Sci. 164:89-110	<input type="checkbox"/>
11	Cho et al. (1998) "Characterization of Clean and Natural Organic Matter (NOM) Fouled NF and UF Membranes, and Foulants Characterization," Desalination 118:101-108	<input type="checkbox"/>
12	Christy et al. (2002) "High-Performance Tangential Flow Filtration: A Highly Selective Membrane Separation Process," Desalination 144:133-136	<input type="checkbox"/>
13	Derwent Abstract Accession No. 86-281075/43, JP 61-204080A, Tokuyama Soda KK, 10 September 1986	<input type="checkbox"/>
14	Derwent Abstract Accession No. 92-288534/35, JP 04-197435, Sumitomo Chem. Co Ltd, 17 July 1992	<input type="checkbox"/>
15	Drikas et al. (Non dated) "Operating the Miex Process With Microfiltration of Coagulation,"	<input type="checkbox"/>
16	Drikas et al. (2002) "Removal of Natural Organic Matter – A Fresh Approach," Water Sci. Technol. 2(1):71-79	<input type="checkbox"/>
17	Eldridge, R.J. (1995) "Moving-Bed Ion Exchange with Magnetic Resins," Rev. Chem. Eng. 11(3):185-228	<input type="checkbox"/>
18	Feed Materials Production Center (Non dated) "Potential Exposure Pathways," http://www.atsdr.cdc.gov/HAC/PHA/fer/fer_p2d.html	<input type="checkbox"/>
19	Fu et al. (1989) "Mechanistic Interactions of Aquatic Organic Substances with Anion-Exchange Resins," Aquatic Humic Resources, Am. Chem. Soc. :797-811	<input type="checkbox"/>
20	Galjaard et al. (2005) "Influence of NOM and Membrane Surface Charge on UF-Membrane Fouling," http://www.iwaponline.com/wio/2005/04/wio200504001.htm	<input type="checkbox"/>

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24	Hongve, D. (1989) "Anion Exchange for Removal of Humus from Drinking Water. Calcium Improves the Efficiency of the Process," Water Res. 23(11):1451-1454	<input type="checkbox"/>
25	Kaiya et al. (2000) "Analysis of Organic Matter Causing Membrane Fouling in Drinking Water Treatment," Water Sci. Technol. 41(10-11):59-67	<input type="checkbox"/>
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27	Kim et al. (Dec. 1991) "Using Anion Exchange Resins to Remove THM Precursors," Research and Technology J. AWWA 83:61-68	<input type="checkbox"/>
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30	Lee et al. (2001) "Cleaning Strategies for Flux Recovery of An Ultra Filtration Membrane Fouled by Natural Organic Matter," Water. Resources 35(14):3301-3308	<input type="checkbox"/>
31	MIEX DOC US Technical Brochure, Downloaded 01/05/2006, http://www.miexresin.com	<input type="checkbox"/>

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32	Morran et al. (non dated) "Miex and Microfiltration – A Winning Alliance,"	<input type="checkbox"/>
33	Morran et al. (1996) "A New Technique for the Removal of Natural Organic Matter," AWWA Watertec Convention, Sydney	<input type="checkbox"/>
34	Morran et al. (Mar. 1997) "A Simple Method to Reduce Disinfection By-Product Formation," 17th Federal Convention, Australian Water and Wastewater Convention, Proceedings 16-21, Melbourne Australia, pp:373-379	<input type="checkbox"/>
35	Mysels, K.J. (1959) Introduction to Colloid Chemistry, Interscience Publishers, New York, pp. 345	<input type="checkbox"/>
36	Naumczyk et al. (1989) "Organics Isolation from Fresh Drinking Waters by Macroporous Anion-Exchange Resins," Water Res. 23(12):1593-1597	<input type="checkbox"/>
37	Nguyen et al. (Mar. 1997) "DOC Removal by Miex Process, Scaling-up and Other Development Issues," 17th Federal Convention, Australian Wastewater Association, Proceedings 16-21, Melbourne Australia, pp.373-379	<input type="checkbox"/>
38	Odegaard et al. (1989) "Removal of Humic Substances by Ion Exchange," Aquatic Humic Resources, Am. Chem. Soc. :813-834	<input type="checkbox"/>
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40	Stone et al. (1993) "Charged Microporous Membranes," Microelectronics Applications Notes	<input type="checkbox"/>
41	Thurman et al. (1989) "Separation of Humic Substances and Anionic Surfactants from Ground Water by Selective Absorption," Aquatic Humic Substances: Influence on Fate and Transformation of Pollutants, American Chemical Society :107-114	<input type="checkbox"/>
42	van Breemen et al. (1979) "The Fate of Fluvic Acids During Water Treatment," Wat. Res. 13:771-779	<input type="checkbox"/>

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43	Wlimelech et al. (1996) Water treatment Technology Program Report No. 10, December, U.S. Department of the Interior, Bureau of Reclamation	<input type="checkbox"/>
44	Xenopoulos et al. (2003) Abstract from the Meeting of the North American Membrane Society, Biomedical Applications/ Bioseparations section of May 19, 2003, http://www.che.utoledo.edu/nams/2003/viewpaper.cfm?ID=426 , accessed May 28, 2004	<input type="checkbox"/>

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OR

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☐ See attached certification statement.

☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☒ None

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A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/ellenwinner/	Date (YYYY-MM-DD)	2006-08-16
Name/Print	Ellen P. Winner	Registration Number	28547

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